

## COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICES

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APR 13 2012

Maine Ethics Commission

MAIL: 135 STATE HOUSE STATION, AUGUSTA, MAINE 04333 OFFICE: 45 MEMORIAL CIRCLE, AUGUSTA, MAINE WEBSITE: WWW.MAINE.GOV/ETHICS

PHONE: 207-287-4179

FAX: 207-287-6775

#### STATEMENT OF SOURCES OF INCOME FOR EXECUTIVE EMPLOYEES

2011 Calendar Year: January 1, 2011 - December 31, 2011

Please file this statement with the <u>Maine Ethics Commission</u> by **5:00 p.m. on April 13, 2012.** Please contact Commission staff at 287-4179 or come to the Commission office at 45 Memorial Circle, Augusta, if you have any questions about this form, your reporting requirements, or how to report specific situations.

### Reporting Deadlines

- This personal financial disclosure statement must be filed annually by the Governor, constitutional
  officers, State Auditor, all state employees in major policy-influencing positions (other than assistant
  attorneys general), and any other executive branch employee who is appointed by the Governor and
  confirmed by the Legislature.
- The statement must be filed by the close of the second week of April and covers the preceding calendar year (the reporting year).
- No statement is required in April if the executive employee has already filed a statement covering the
  preceding year as an initial report. (Employees appointed by the Governor must file an initial report
  before confirmation by the Legislature, and the Governor, constitutional officers, and State Auditor
  must file an initial report within 30 days of his or her election.)
- If there is a substantial change in the sources of your income or positions during the current calendar
  year, file an "update statement" for the current year within 30 days of the substantial change.

#### **General Instructions**

- Complete all sections. If a section is not applicable, check the box marked "None."
- A glossary is located in the back of this form.
- If completing this form by hand, please write legibly.

# **REPORT TYPE** Annual Initial Update **EXECUTIVE EMPLOYEE INFORMATION** Name Job Title Michael J. Allen Assoc. Comm. for Tax Policy Department Phone (Work) **DAFS** 624-9570 Mailing Address 26 Edison Drive, Augusta, ME 04333 **Email Address** michael.j.allen@maine.gov

		nent by Another	Part 1. Income from Employn	
✓ None. Check this box if you do not have income from employment by another.				
Job Title	Principal Type of Economic or Business Activity of Employer	Address	Name of Employer	

Part 2. Income from Self-Employment  None. Check this box if you do not have income from self-employment.				
Name of Client or Customer, if required (see instructions)	Address	Principal Type of Economic or Business Activity of Client		

Practice of Law			The second secon		
✓ None. Check this box if you do not have income from the practice of law.					
Address	Your Major Areas of Practice	Firm's Major Areas of Practice	Position: Partner, Associate, Sole Practitioner		
			;		
	Alliana American and American	Address Your Major Areas of	Address Your Major Areas of Firm's Major Areas of		

Part 4. Income from Any Other Source None. Check this box if you do not ha			
Name of Source	Address	Type of Income	
·············			
Part 5-A. Compensation Income of Im	San A. and 1992 at San San Strain Assembly Make to a Marine and objects 1999 April 1999 April 1999 and	A control of the cont	
None. Check this box if no members of employment or compensation.	of your immediate family derived incom	ne of \$1,000 or more from	
Name and Job Title	Employer's Name and Address	Principal Type of Economic or	
(do not list name of dependent child)		Business Activity of Employer	
Holly Gilbert Allen, Computer Ed. Tech	Town of Yarmouth, School Department,	Computer Education Technician	
,,,,	McCarthy St., Yarmouth, ME 04096		
folly Gilbert Allen, Consultant for Creative Memories	Holly Allen, 379 Oakwood Drive, Yarmouth, ME 04096	Scrapbooking Consultant	
		forethings.	
Part 5-B. Other Sources of Income of	Immediate Family Members		
None. Check this box if no members of other source.	of your immediate family derived incom	ne of \$1,000 or more from any	
Name of Spouse or Partner	Source's Name and Address	Type of Income	
(do not list name of dependent child)			
30 ° 1 M	1		

Part 6. Loans  ✓ None. Check this box if you do not have reportable liabilities.			
Lender's Name		Principal Type of Economic or Business Activity of Lender	

Part 7. Gifts, Including Travel and Accommodation	<b>S</b>
None. Check this box if you have not received any gi	fts.
Source of Gift	Source of Gift
1. Internal Revenue Service, Statistics of Income Division	4.
2.	5.
3.	6.

Part 8. Honoraria				
✓None. Check this box if you have not received honoraria.				
Source of Honoraria	Source of Honoraria			
1.	4.			
2.	5.			
3.	6.			

Part 9-A. Conducting Business	with State Agen	ncles			
None. Check this box if neither year	The state of the s	make an analysis of the second	business with State	e agencies.	
Name of Agenc		Name of Inc	Name of Individual Selling Goods or Services		
Part 9-B. Representing Others B					
None. Check this box if neither yo	ou nor your imme	ediate family have repre	sented another befo	ore a State agency.	
Name of Agency		Name of Inc	lividual Receiving C	compensation -	
Part 10. Positions in For-Profit a	nd Non-Profit O	rganizations			
None. Check this box if you and n profit organizations.	Commence Acide Live Co. 1 1911 years properly and a live of		old positions in any	for-profit or non-	
Organization/Business and Address	Title	Name of Position Holder	Relationship to Executive Employee	Compensated Yes/No	
Boy Scout Troop 35, Yarmouth, Maine 379 Oakwood Drive Yarmouth, ME 04096	Treasurer	Michael J. Allen	☑Self □Spouse □Dependent	No	
Oakwood Neighborhood Assoc. 379 Oakwood Drive Yarmouth, ME 04096	Treasurer	Michael J. Allen	☑ Self □ Spouse □ Dependent	No	
			☐ Self ☐ Spouse ☐ Dependent		
	\$1	GNATURE			
CERTIFY THAT I HAVE EXAMINED CORRECT, AND COMPLETE.	THIS REPORT	AND TO THE BEST O	F MY KNOWELDG	E IT IS TRUE,	
and the			4/11	/12_	
Signature			//b/a	ate	
UNSWOI	RN FALSIFICATION IS	S A CLASS D CRIME (17-A M.R.	/ S.A. §453).		